# BEFORE THE DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

) ) )
) File No. Case No.12-2001-117073
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#### **DECISION**

The attached **Stipulated Settlement and Disciplinary Order** is hereby adopted as the Decision and Order of the Division of Medical Quality of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on May 24, 2002

IT IS SO ORDERED April 24, 2002

MEDICAL BOARD OF CALIFORNIA

Rv:

Rudy Bermáde

Chair

Panel B

Division of Medical Quality

1 2 3 4	BILL LOCKYER, Attorney General of the State of California SUSAN K. MEADOWS, State Bar No. 115092 Deputy Attorney General California Department of Justice 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
5	Telephone: (415) 703-5552 Facsimile: (415) 703-5480		
6	Attorneys for Complainant		
7	DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
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10			
11	In the Matter of the Accusation Against:	Case No. 12-2001-117073	
12	BARRY G. PIERCE, M.D. 1260 N. Dutton Avenue, Suite 175	OAH No. N2001 110101	
13	Santa Rosa CA 95403	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER	
	Physician's and Surgeon's Certificate		
14	No. G50471		
14 15	No. G50471  Respondent.		
ĺ	No. G50471		
15	No. G50471  Respondent.	AGREED by and between the parties to the	
15 16	No. G50471  Respondent.		
15 16 17	No. G50471  Respondent.  IT IS HEREBY STIPULATED AND	rs are true:	
15 16 17 18	No. G50471  Respondent.  IT IS HEREBY STIPULATED AND above-entitled proceedings that the following matter  PARTIE	rs are true:	
15 16 17 18 19	No. G50471  Respondent.  IT IS HEREBY STIPULATED AND above-entitled proceedings that the following matter  PARTIE	es are true:  ES  the Executive Director of the Medical Board	
15 16 17 18 19 20	No. G50471  Respondent.  IT IS HEREBY STIPULATED AND above-entitled proceedings that the following matter  PARTIE  1. Ron Joseph (Complainant) is	es are true:  ES  the Executive Director of the Medical Board  fficial capacity and is represented in this	
15 16 17 18 19 20 21	No. G50471  Respondent.  IT IS HEREBY STIPULATED AND above-entitled proceedings that the following matter  PARTIE  1. Ron Joseph (Complainant) is of California. He brought this action solely in his of	es are true:  ES  the Executive Director of the Medical Board  fficial capacity and is represented in this	
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15   16   17   18   19   20   21   22   23   24	No. G50471  Respondent.  IT IS HEREBY STIPULATED AND above-entitled proceedings that the following matter  PARTIE  1. Ron Joseph (Complainant) is of California. He brought this action solely in his of matter by Bill Lockyer, Attorney General of the Stat Deputy Attorney General.  2. Respondent Barry G. Pierce, 1	the Executive Director of the Medical Board fficial capacity and is represented in this te of California, by Susan K. Meadows,  M.D. (Respondent) is represented in this	
15   16   17   18   19   20   21   22   23   24   25	No. G50471  Respondent.  IT IS HEREBY STIPULATED AND above-entitled proceedings that the following matter  PARTIE  1. Ron Joseph (Complainant) is of California. He brought this action solely in his of matter by Bill Lockyer, Attorney General of the Stat Deputy Attorney General.  2. Respondent Barry G. Pierce, proceeding by attorney Kenneth L. Freeman, whose San Francisco, CA 94102.	the Executive Director of the Medical Board fficial capacity and is represented in this te of California, by Susan K. Meadows,  M.D. (Respondent) is represented in this	

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license was in full force and effect at all times relevant to the charges brought in Accusation No. 12-2001-117073 and will expire on September 30, 2002, unless renewed.

### **JURISDICTION**

Accusation No. 12-2001-117073 was filed before the Division of Medical 4. Quality (Division), Medical Board of California, Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on October 4, 2001. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 12-2001-117073 is attached as exhibit A and incorporated herein by reference.

# ADVISEMENT AND WAIVERS

- Respondent has carefully read, fully discussed with counsel, and 5. understands the charges and allegations in Accusation No. 12-2001-117073. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- Respondent is fully aware of his legal rights in this matter, including the 6. right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- Respondent voluntarily, knowingly, and intelligently waives and gives up 7. each and every right set forth above.
- For the purpose of resolving the Accusation No. 12-2001 117073 without 8. the expense and uncertainty of further proceedings, respondent agrees that, at a hearing, complainant could establish a factual basis for the charges in the Accusation under Business and Professions Code section 2234, and that respondent hereby gives up his right to contest those charges.

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- 9. Respondent agrees that the Division has jurisdiction to enter the following order pursuant to section 2234 of the Business and Professions Code as set forth in the Order below.
- 10. The admissions and agreements made by respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Division of Medical Quality, Medical Board of California or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceedings.
- Respondent agrees that his Physician's and Surgeon's Certificate no.

  G50471 is subject to discipline and he agrees to be bound by the Division's imposition of discipline as set forth in the Disciplinary Order below.

#### **CONTINGENCY**

- Quality. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Division regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Division considers and acts upon it. If the Division fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Division shall not be disqualified from further action by having considered this matter.
- 13. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Division may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

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#### DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G50471 issued to Respondent Barry G. Pierce, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for seven (7) years on the following terms and conditions.

Within 15 days after the effective date of this decision the respondent shall provide the Division, or its designee, proof of service that respondent has served a true copy of this decision on the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to respondent or at any other facility where respondent engages in the practice of medicine and on the Chief Executive Officer at every insurance carrier where malpractice insurance coverage is extended to respondent.

- of this decision, and on an annual basis thereafter, respondent shall submit to the Division or its designee for its prior approval an educational program or course to be designated by the Division or its designee which shall be aimed at correcting any areas of deficient practice or knowledge which shall not be less than 20 hours per year, for the first five years of probation. This program shall be in addition to the Continuing Medical Education (CME) requirements for re-licensure. Following the completion of each course, the Division or its designee may administer an examination to test respondent's knowledge of the course. Respondent shall provide proof of attendance for 45 hours of continuing medical education of which 20 hours were in satisfaction of this condition and were approved in advance by the Division or its designee.
- 2. **ETHICS COURSE** Within sixty (60) days of the effective date of this decision, respondent shall enroll in a course in Ethics approved in advance by the Division or its designee, and shall successfully complete the course during the first year of probation.
- 3. **PSYCHIATRIC EVALUATION** Within thirty (30) days of the effective date of this decision, and on a periodic basis thereafter as may be required by the Division or its designee, respondent shall undergo a psychiatric evaluation (and psychological testing, if deemed necessary) by a Division-appointed psychiatrist, who shall furnish an

evaluation report to the Division or its designee. The respondent shall pay the cost of the psychiatric evaluation.

If respondent is required by the Division or its designee to undergo psychiatric treatment, respondent shall within thirty (30) days of the requirement notice submit to the Division for its prior approval the name and qualifications of a psychiatrist of respondent's choice. Respondent shall undergo and continue psychiatric treatment until further notice from the Division or its designee. Respondent shall have the treating psychiatrist submit quarterly status reports to the Division or its designee indicating whether the respondent is capable of practicing medicine safely.

If the evaluation or the treating psychiatrist's report determines that respondent is not mentally fit to practice medicine safely, then respondent shall be suspended from the practice of medicine until a repeat evaluation establishes that he can practice safely, as evidenced by written notice to respondent from the Division or its designee.

4. **PSYCHOTHERAPY** Within sixty (60) days of the effective date of this decision, respondent shall submit to the Division or its designee for its prior approval the name and qualifications of a psychotherapist of respondent's choice. Upon approval, respondent shall undergo and continue in regular treatment, at least two sessions per month, for the first five years of probation. Respondent shall have the treating psychotherapist submit quarterly status reports to the Division or its designee. The Division or its designee may require respondent to undergo psychiatric evaluations by a Division-appointed psychiatrist. If, prior to the termination of probation, respondent is found not to be mentally fit to resume the practice of medicine without restrictions, the Division shall retain continuing jurisdiction over the respondent's license and the period of probation shall be extended until the Division determines that the respondent is mentally fit to resume the practice of medicine without restrictions. The respondent shall pay the cost of the therapy and evaluations.

# 5. **PROHIBITED PRACTICE**

During the first three years of probation, respondent is prohibited from treating or evaluating female patients. At the earliest opportunity, respondent shall inform his female

patients that he will be unable to continue to treat or evaluate them. Respondent shall have six months after the effective date of the Decision in which to terminate and transition the treatment of his current female patients.

- 6. OBEY ALL LAWS Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California, and remain in full compliance with any court ordered criminal probation, payments and other orders.
- 7. **QUARTERLY REPORTS** Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Division, stating whether there has been compliance with all the conditions of probation.
- Respondent shall comply with the Division's probation surveillance program. Respondent shall, at all times, keep the Division informed of his business and residence addresses which shall both serve as addresses of record. Changes of such addresses shall be immediately communicated in writing to the Division. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

Respondent shall, at all times, maintain a current and renewed physician's and surgeon's license.

Respondent shall also immediately inform the Division, in writing, of any travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) days.

- 9. <u>INTERVIEW WITH THE DIVISION, ITS DESIGNEE OR ITS</u>

  <u>DESIGNATED PHYSICIAN(S)</u> Respondent shall appear in person for interviews with the Division, its designee or its designated physician(s) upon request at various intervals and with reasonable notice.
- IN-STATE NON-PRACTICE In the event respondent should leave California to reside or to practice outside the State or for any reason should respondent stop practicing medicine in California, respondent shall notify the Division or its designee in writing within ten (10) days of

the dates of departure and return or the dates of non-practice within California. Non-practice is defined as any period of time exceeding thirty (30) days in which respondent is not engaging in any activities defined in Sections 2051 and 2052 of the Business and Professions Code. All time spent in an intensive training program approved by the Division or its designee shall be considered as time spent in the practice of medicine. A Board-ordered suspension of practice shall not be considered as a period of non-practice. Periods of temporary or permanent residence or practice outside California or of non-practice within California, as defined in this condition, will not apply to the reduction of the probationary order.

- 11. **COMPLETION OF PROBATION** Upon successful completion of probation, respondent's certificate shall be fully restored.
- respect, the Division, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against respondent during probation, the Division shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- Division the amount of \$4,000.00. Respondent shall pay the Division \$1000.00 dollars of this amount within ninety (90) days of the effective date of this decision for its investigative and prosecution costs. Thereafter, respondent shall pay the Division \$500.00 per month until the full amount of the \$4000.00 cost recovery is paid in full. The full amount of cost recovery shall be paid within the first year of probation. Failure to reimburse the Division's cost of investigation and prosecution as set forth above shall constitute a violation of the probation order. The filing of bankruptcy by the respondent shall not relieve the respondent of his responsibility to reimburse the Division for its investigative and prosecution costs.
- 14. **PROBATION COSTS** Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Division, which are currently set at \$2,488 per year, but may be adjusted on an annual basis. Such costs shall be

payable to the Division of Medical Quality and delivered to the designated probation surveillance monitor no later than January 31 of each calendar year. Failure to pay costs within 30 days of the due date shall constitute a violation of probation.

15. LICENSE SURRENDER Following the effective date of this decision, if respondent ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the terms and conditions of probation, respondent may voluntarily tender his certificate to the Board. The Division reserves the right to evaluate the respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the tendered license, respondent will no longer be subject to the terms and conditions of probation.

#### **ACCEPTANCE**

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Kenneth L. Freeman. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate no. G50471. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Division of Medical Quality, Medical Board of California.

DATED: 1907

BARRY G. PIBRCE, M.D.

Respondent

I have read and fully discussed with Respondent Barry G. Pierce, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

Order. I approve its form and content

KENNETH L. FREEMAN Attorney for Respondent

# **ENDORSEMENT**

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Division of Medical Quality, Medical Board of California of the Department of Consumer Affairs.

DATED: 1/16/02-

BILL LOCKYER, Attorney General of the State of California

SUSAN K. MEADOWS
Deputy Attorney General

Attorneys for Complainant

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Exhibit A
Accusation No. 12-2001-117073

1 2 3 4 5	BILL LOCKYER, Attorney General of the State of California VIVIEN HARA HERSH Supervising Deputy Attorney General SUSAN K. MEADOWS (#115092) Deputy Attorney General California Department of Justice 455 Golden Gate Avenue, Suite 11000 San Francisco, California 94102 Telephone: (415) 703-5552 Facsimile: (415) 703-5480	STATE OF CALIFORNIA  MEDICAL BOARD OF CALIFORNIA  SACRAMENTO LETTER 4 2001  BY: 70. Grand ANALYST
7	Attorneys for Complainant	
8 9 10	BEFORE THE  MEDICAL BOARD OF CALIFORNIA  DEPARTMENT OF CONSUMER AFFAIRS  STATE OF CALIFORNIA	
11	In the Matter of the Accusation Against:	) Case No. 12 2001 117073
12	Barry G. Pierce, M.D.	) ) ) ACCUSATION
13	Santa Rosa, CA 95403  (address of record)	) Accosation
14	Physician's & Surgeon's License No. G50471	
15	Respondent.	
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18	The Complainant alleges:	
19	<u>PARTIES</u>	<u>S</u> ·
20	1. Complainant, Ron Joseph, is the	ne Executive Officer of the Medical Board
21	of California (hereinafter the "Board") and brings this accusation solely in his official capacity.	
22	2. On or about July 1, 1983, Lice	nse No. G 50471 was issued by the Board to
23	Barry G. Pierce, M.D. (hereinafter "respondent"). Respondent's license, if not renewed, will	
24	expire on September 30, 2002. No prior disciplinary action has been taken against respondent's	
25	license by the Board.	
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#### **JURISDICTION**

- 3. Section 2001 of the Business and Professions Code provides for the existence of the Board.
- 4. Section 2003 of the Business and Professions Code provides for the existence of the Division of Medical Quality (hereinafter referred to as the "Division") within the Board.
- 5. Section 2004 of the Business and Professions Code provides, inter alia, that the Division is responsible for the administration and hearing of disciplinary actions involving enforcement of the Medical Practice Act (section 2000 et seq. of the Code) and the carrying out of disciplinary action appropriate to findings made by a Medical Quality Review Committee, the Division, or an Administrative Law Judge.
- 6. Section 2229 of the Business and Professions Code provides, in pertinent part, that protection of the public shall be the highest priority of the Division in exercising its disciplinary authority.
- 7. Section 2234 of the Business and Professions Code provides that the Division shall take action against a holder of a physician and surgeon's certificate who is guilty of unprofessional conduct. Unprofessional conduct includes, but is not limited to, the following:
  - (b) Gross Negligence.
  - 8. Section 726 provides, in pertinent part, that:
  - "The commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer constitutes unprofessional conduct and grounds for disciplinary action for any person licensed under this division. . . ."
- 9. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his license revoked, be suspended for a period not to exceed one year, be placed on probation and required to pay the costs of probation monitoring, be publicly reprimanded, or have such other action taken in relation to discipline as the Division deems proper.
  - 10. Section 14124.12 of the Welfare and Institutions Code states:

"(a) Upon receipt of written notice from the Medical Board of California, the Osteopathic Medical Board of California, or the Board of Dental Examiners of California, that a licensee's license has been placed on probation as a result of a disciplinary action, the department may not reimburse any Medi-Cal claim for the type of surgical service or invasive procedure that gave rise to the probation, including any dental surgery or invasive procedure, that was performed by the licensee on or after the effective date of probation and conditions or until the probationary period has ended, whichever occurs first. This section shall apply except in any case in which the relevant licensing board determines that compelling circumstances warrant the continued reimbursement during the probationary period of any Medi-Cal claim, including any claim for dental services, as so described. In such a case, the department shall continue to reimburse the licensee for all procedures, except for those invasive or surgical procedures for which the licensee was placed on probation.

"(b) The Medical Board of California, the Osteopathic Medical Board of California, and the Board of Dental Examiners of California, shall work in conjunction with the State Department of Health Services to provide all information that is necessary to implement this section. These boards and the department shall annually report to the Legislature by no later than March 1 that number of licensees of these boards, placed on probation during the immediately preceding calendar year, who are:

- "(1) Not receiving Medi-Cal reimbursement for certain surgical services or invasive procedures, including dental surgeries or invasive procedures, as a result of subdivision (a).
- "(2) Continuing to receive Medi-Cal reimbursement for certain surgical or invasive procedures, including dental surgeries or invasive procedures, as a result of a determination of compelling circumstances made in accordance with subdivision (a).

- "(c) This section shall become inoperative on July 1, 2003, and, as of January 1, 2004, is repealed, unless a later enacted statute that is enacted before January 1, 2004, deletes or extends the dates on which it becomes inoperative and is repealed."
- 11. Section 125.3 provides, in pertinent part, that in any order issued in resolution of a disciplinary proceeding before any board within the California Department of Consumer Affairs, the Board may request the administrative law judge to direct a licentiate found to have committed a violation/violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.
- 12. This case was initiated on or about January 1, 2001 when the Medical Board received a referral from the Santa Rosa Police Department pertaining to a complaint by a patient, G.D., who alleged that she and respondent had engaged in a sexual relationship while she was his patient. The incidents described below occurred while respondent was practicing as a psychiatrist in Santa Rosa, California.

#### FIRST CAUSE FOR DISCIPLINARY ACTION

 $(G.D.^{1/})$ 

(Sexual Misconduct)

- 13. On or about April of 1998, patient G.D., a 36-year-old female, sought psychiatric treatment from respondent. G.D. was in therapy with respondent from April of 1998 until February of 1999.
- 14. On or about December of 1998 during a scheduled therapy session at respondent's office, G.D. told respondent that she was moving out of the area. During the session, respondent suggested that they continue to conduct therapy sessions by telephone. At the end of that therapy session, respondent and G.D. hugged and kissed. Respondent slipped his hand through the slide slit in G.D.'s overalls and placed his hand on her bare buttocks and left it there.

1. In order to protect the privacy of the patient, the names of the patient will be revealed to respondent through the discovery process.

their hourly telephone therapy sessions. On or about February 3<sup>rd</sup> or 4th, 1999, G.D. told respondent that she would be in the Santa Rosa area and scheduled an appointment with respondent. They decided to meet at the Sonoma Mission Inn where G.D. was spending the night. Respondent met her at the hotel and they went out to dinner. During dinner, they had wine and discussed their lives. After dinner, respondent and G.D. went back to her hotel room and engaged in sexual relations. After this date, respondent continued to telephone G.D. stating that he wanted to see her. In a letter to G.D. dated May 28, 1999, respondent told G.D. that he was "wrong, and misguided and deluded to tell [her] that he had feelings for [her]". G.D. and respondent began dating each other and G.D. moved into respondent's house on or about February or March of 2000.

pursuant to section 726 in that respondent engaged in sexual misconduct with G.D. when he kissed her and placed his hand inside her overalls to hold her buttocks. Respondent's conduct of engaging in sexual relations with G.D. in February of 1999 while G.D. was his patient further constitutes sexual misconduct in violation of section 726. Therefore, cause for disciplinary action exists.

# SECOND CAUSE FOR DISCIPLINARY ACTION

(Gross Negligence)

(Patient G.D.)

- 17. The allegations contained in paragraphs 13 through 16, inclusive, are incorporated herein as if fully set forth.
- 18. Respondent's conduct as set forth in paragraph 17 above constitutes gross negligence and is cause for disciplinary action pursuant to section 2234(b) in that respondent, in pursuit of his own personal gratification, destroyed the therapeutic relationship with G.D. and betrayed the professional trust G.D. had placed in him as her therapist by engaging in a romantic and sexual relationship with her. Respondent failed to evaluate or consider the actual and/or

potential emotional and psychological harm that his actions would have on this patient. Therefore, 1 cause for disciplinary action exists pursuant to section 2234(b), and section 2234 of the Code. 2 **PRAYER** 3 WHEREFORE, the complainant requests that a hearing be held on the matters 4 herein alleged, and that following the hearing, the Board issue a decision: 5 Revoking or suspending License Number G 50471 heretofore issued to 6 respondent Barry G. Pierce, M.D.; 7 Ordering respondent to pay the Board the actual and reasonable costs of 2. 8 the investigation and enforcement of this case; 9 If probation is included in any order issued herein, to order respondent to 3. 10 pay the costs as provided by section 2227(a)(3); 11 Revoking respondent's ability to supervise physician assistants; and 4. 12 Taking such other and further action as the Board deems necessary and 5. 13 proper. 14 DATED: October 4 , 2001 15 16 17 Executive Director 18 Medical Board of California Department of Consumer Affairs 19 State of California Complainant 20 21 22 Pierce.acc 23 24 25 26 27